

Companies subject to exclusion

Updated: 2018-09-30

No holdings from the following companies are allowed;

Company	Comment	Issuer Id (Bloomberg)	Country	Security ID (example)*	Security ISIN (example)*	Security SEDOL (example)*	Exclusion date
ABM Investama Tbk PT	Involved in Coal & Consumable Fuels	28305618	Indonesia	USY708CHAA88	USY708CHAA88	BF5TH38	11-09-2017
Adaro Energy Tbk PT	Involved in Coal & Consumable Fuels	14658356	Indonesia	ADRO.JK	ID1000111305	B3BQFC4	16-04-2015
AECOM	Maintenance of nuclear weapons	901257	United States	ACM.N	US00766T1007	B1VZ431	08-02-2017
Aerofjet Rocketdyne Holdings Inc	Maintenance of nuclear weapons	100644	United States	AJRD.US	US0078001056	BWD7PX9	08-02-2017
Aeroteh SA	Involvement in cluster munitions	307300, AEEHRO	Romania	AETE.RRON	ROAROBACNOR1	5393590	19-09-2013
Airbus SE	Involvement in nuclear weapons	876285	Netherlands	AIR.PA	NL0000235190	4012250	18-04-2012
Alliance Holdings GP LP	Involved in Coal & Consumable Fuels	10138307	United States	n/a	US01861G1004	n/a	11-09-2017
Alliance Resource Partners LP	Involved in Coal & Consumable Fuels	861148	United States	n/a	US01877R1086	n/a	11-09-2017
Alpha Natural Resources Inc	Involved in Coal & Consumable Fuels	9404959	United States	ANR.N_X	US02076X1028	B066NX5	16-04-2015
Anglo Pacific Group plc	Involved in Coal & Consumable Fuels	111095	United Kingdom	APF.L	GB0006449366	644936	11-09-2017
Arch Coal Inc	Involved in Coal & Consumable Fuels	225815	United States	ARCH.N	US0393804077	BYHNV6	16-04-2015
Aryt Industries Ltd	Involvement in cluster munitions	102203	Israel	ARYT.TA	IL0005870147	6049740	15-12-2010
Asia Resource Minerals PLC	Involved in Coal & Consumable Fuels	19458306	United Kingdom	BUMIP.L_X	GB00B5BLXT62	B5BLXT6	16-04-2015
Babcock International Group PLC	Involvement in nuclear weapons	111149	United Kingdom	BAB.L	GB0009697037	969703	18-04-2012
BAE Systems PLC	Involvement in nuclear weapons	111270	United Kingdom	BAES.L	GB0002634946	263494	18-04-2012
Banpu PCL	Involved in Coal & Consumable Fuels	117284	Thailand	BANPN.BK	TH0148010R15	6368348	16-04-2015
Baralaba Coal Co Ltd	Involved in Coal & Consumable Fuels	10110581	Australia	COK.AX	AU000000COK9	B0PB4N8	11-09-2017
Bathurst Resources Ltd	Involved in Coal & Consumable Fuels	13659239	New Zealand	BTU.AT_X	AU000000BTU9	B29NOR0	16-04-2015
Bayan Resources Tbk PT	Involved in Coal & Consumable Fuels	14688483	Indonesia	n/a	ID1000111701	n/a	11-09-2017
Berau Coal Energy Tbk PT	Involved in Coal & Consumable Fuels	18518307	Indonesia	USY711AQAA43	USY711AQAA43	B7RGMG9	11-09-2017
BWX Technologies Inc	Involvement in nuclear weapons	970993	United States	BWXT.W.N	US05605H1005	BZ0W624	26-04-2018
Boeing Co/The	Involvement in nuclear weapons	100211	United States	BA.N	US0970231058	2108601	18-09-2014
Booz Allen Hamilton Holding Corp	Maintenance of nuclear weapons	19418107	United States	BAH.N	US0995021062	B536777	08-02-2017
Borneo Lumbung Energi & Metal Tbk PT	Involved in Coal & Consumable Fuels	21138526	Indonesia	n/a	ID1000117401	B3LBT4	16-04-2015
Bumi Resources Tbk PT	Involved in Coal & Consumable Fuels	128382	Indonesia	BUMI.JK	ID1000068703	6043485	16-04-2015
CACI International Inc	Maintenance of nuclear weapons	102664	United States	CACI.N	US1271903049	2159267	08-02-2017
CCX Carvao da Colombia SA	Involved in Coal & Consumable Fuels	10959682	Brazil	n/a	BRCCXCACNOR5	n/a	11-09-2017
Cemex SAB de CV	Violation of human rights related norms & extraction of non-renewable resourc	117341	Mexico	CMXCPO.MX	MXP225611567	2406457	22-08-2013
China Coal Energy Co Ltd	Involved in Coal & Consumable Fuels	11300065	China	1898.HK	CNE100000528	B1JNK84	16-04-2015
China Shenhua Energy Co Ltd	Involved in Coal & Consumable Fuels	9808013	China	1088.HK	CNE1000002R0	B09N7M0	11-09-2017
China Shipbuilding Industry Co Ltd	Involvement in nuclear weapons	16730340	China	601989.CG	CNE100000J75	B4X9DB3	15-03-2016
Cloud Peak Energy Inc	Involved in Coal & Consumable Fuels	15093012	United States	CLD.N	US18911Q1022	B57LN89	11-09-2017
Coal Energy SA	Involved in Coal & Consumable Fuels	25703297	Luxembourg	CLEP.WA	LU0646112838	B6QFCM6	11-09-2017
Coal India Ltd	Involved in Coal & Consumable Fuels	1484028	India	COAL.NS	INE522F01014	B4Z9XF5	16-04-2015
MC Mining Ltd	Involved in Coal & Consumable Fuels	153418	Australia	CZA.AX	AU000000CZA6	6380331	11-09-2017
Cohort PLC	Maintenance of nuclear weapons	10521575	United Kingdom	n/a	GB00B0YD2B94	n/a	08-02-2017
Constructions Industrielles de la Mediterranee SA	Involvement in nuclear weapons	115315	France	COM.FP	FR0000053399	4182023	13-03-2014
Contura Energy Inc	Involved in Coal & Consumable Fuels	50663049	United States	n/a	US21241B1008	n/a	11-09-2017
Corsa Coal Corp	Involved in Coal & Consumable Fuels	14090591	Canada	n/a	CA22041E6091	n/a	11-09-2017
Datong Coal Industry Co Ltd	Involved in Coal & Consumable Fuels	10831213	China	601001.CG	CNE000001MZ6	B16FQY1	11-09-2017
Dian Swastatika Sentosa Tbk PT	Involved in Coal & Consumable Fuels	17568154	Indonesia	n/a	ID1000113400	n/a	11-09-2017
DMCI Holdings Inc	Involved in Coal & Consumable Fuels	178126	Philippines	DMC.PS	PHY2088F1004	6272483	11-09-2017
Enbridge Energy Management LLC	Norms violation of indigenous peoples rights	7744560	United States	EEQ.N	US29250X1037	2020341	24-05-2017
Enbridge Energy Partners LP	Norms violation of indigenous peoples rights	100878	United States	US29250RAW60	US29250RAW60	BYN7R04	24-05-2017
Enbridge Inc	Norms violation of indigenous peoples rights	109548	Canada	ENB.TO	CA29250N1050	2466149	24-05-2017
Energy Transfer Equity LP	Norms violation of indigenous peoples rights	10005604	United States	US29273VAF76	US29273VAF76	BWVFT77	23-02-2017
Energy Transfer LP	Norms violation of indigenous peoples rights	171934	United States	ETP.N	US29273R1095	2434793	09-02-2017
Energy Transfer Partners LP	Norms violation of indigenous peoples rights	1754738	United States	US29278NAB91	US29278NAB91	BF3RDS1	23-02-2017
Engility Holdings Inc	Maintenance of nuclear weapons	11731226	United States	EGL.N	US29286C1071	BVVVSS1	08-02-2017

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Exxaro Resources Ltd	Production of coal	7567817	South Africa	EXXJ.J	ZAE000084992	6418801	09-02-2017
Fluor Corp	Maintenance of nuclear weapons	1184706	United States	FLR.N	US3434121022	2696838	08-02-2017
Gansu Jingyuan Coal Industry and Electricity Power Co Ltd	Involved in Coal & Consumable Fuels	135830	China	n/a	CNE000000D40	n/a	11-09-2017
General Dynamics Corp	Involvement in cluster munitions & nuclear weapons	100631	United States	GD.N	US3695501086	2365161	01-11-2009
Golden Eagle Energy Tbk PT	Involved in Coal & Consumable Fuels	815816	Indonesia	n/a	ID1000100704	n/a	11-09-2017
Golden Energy Mines Tbk PT	Involved in Coal & Consumable Fuels	26790384	Indonesia	n/a	ID1000121106	n/a	11-09-2017
Guizhou Panjiang Refined Coal Co Ltd	Involved in Coal & Consumable Fuels	7283979	China	600395 CG	CNE0000017V2	6345040	16-04-2015
Gujarat Mineral Development Corp Ltd	Involved in Coal & Consumable Fuels	304517	India	GMDC.BO	INE131A01031	6101639	11-09-2017
Hallador Energy Co	Involved in Coal & Consumable Fuels	104480	United States	HNRG.OQ	US40609P1057	2404978	11-09-2017
Hanwha Corp	Involvement in cluster munitions & anti-personnel mines	117698	Republic Of Korea	000880.KS	KR7000880005	6496755	01-11-2009
Harris Corp	Maintenance of nuclear weapons	100710	United States	HRS.N	US4138751056	2412001	08-02-2017
Harum Energy Tbk PT	Involved in Coal & Consumable Fuels	15566601	Indonesia	HRUM.JK	ID1000116601	B4KDDG4	11-09-2017
Hidili Industry International Development Ltd	Involved in Coal & Consumable Fuels	13160759	Cayman Islands	1393.HK	KYG444031069	B2471B8	16-04-2015
Honeywell International Inc	Maintenance of nuclear weapons	100043	United States	HON.N	US4385161066	2020459	08-02-2017
Huntington Ingalls Industries Inc	Involvement in nuclear weapons	24061601	United States	HII.N	US4464131063	B40SSC9	18-04-2012
Huolinhe Opencut Coal Industry Corp Ltd of Inner Mongolia	Involved in Coal & Consumable Fuels	11761987	China	002128 CS	CNE100000098	B1VVL4	11-09-2017
Incitec Pivot Ltd	Violation of human rights related norms	8214191	Australia	IPL.AX	AU000000IPL1	6673042	13-06-2014
Indo Tambangraya Megah Tbk PT	Involved in Coal & Consumable Fuels	7885574	Indonesia	ITMG.JK	ID1000108509	B29SK75	16-04-2015
Inner Mongolia Yitai Coal Co Ltd	Involved in Coal & Consumable Fuels	223429	China	900948.SS	CNE000000SK7	6019011	16-04-2015
Jacobs Engineering Group Inc	Involvement in nuclear weapons	100820	United States	JEC.N	US4698141078	2469052	14-12-2015
Jastrzebska Spolka Weglowa SA	Involved in Coal & Consumable Fuels	15194533	Poland	JSW.WA	PLJSW0000015	B6R2S06	16-04-2015
Jizhong Energy Resources Co Ltd	Involved in Coal & Consumable Fuels	384537	China	000937 CS	CNE0000010H6	6170015	11-09-2017
Kuzbasskaya Toplivnaya Kompaniya PAO	Involved in Coal & Consumable Fuels	15035459	Russian Federation	n/a	RU000A0JPHYD7	n/a	11-09-2017
L3 Technologies Inc	Maintenance of nuclear weapons	381726	United States	LLL.N	US5024131071	BYZGYC0	13-06-2016
Larsen & Toubro Ltd	Production of nuclear weapons outside the NPT	152324	India	LART.NS	INE018A01030	B0166K8	08-03-2018
Leidos Holdings Inc	Maintenance of nuclear weapons	10002321	United States	LDOS.N	US5253271028	BDV82B8	08-02-2017
Leonardo SpA	Involvement in nuclear weapons	128107	Italy	SIFI.MI	IT0003856405	B0DJNG0	22-08-2013
Lockheed Martin Corp	Involvement in cluster munitions & nuclear weapons	156923	United States	LMT.N	US5398301094	2522096	01-11-2009
Lubelski Wegiel Bogdanka SA	Involved in Coal & Consumable Fuels	14850206	Poland	LWBP.WA	PLLWBGD00016	B543NN3	16-04-2015
Mechel PJSC	Involved in Coal & Consumable Fuels	8563855	Russian Federation	MTLR.MM	RU000A0DKXV5	B5960H0	11-09-2017
Mercator Ltd	Involved in Coal & Consumable Fuels	152381	India	n/a	INE934B01028	n/a	11-09-2017
Mitsui Matsushima Co Ltd	Involved in Coal & Consumable Fuels	114177	Japan	1518 JT	JP3894000003	6572644	11-09-2017
Mongolia Energy Corp Ltd	Involved in Coal & Consumable Fuels	116590	Bermuda	0276.HK_X	BMG6260K1264	B02L839	11-09-2017
Mongolian Mining Corp	Involved in Coal & Consumable Fuels	17529959	Cayman Islands	0975.HK	KYG6264V1023	B4N0VG7	11-09-2017
Moog Inc	Maintenance of nuclear weapons	105427	United States	MOGA.N	US6153942023	2601218	08-02-2017
Motovilicha plants PJSC	Involvement in cluster munitions	7850349	Russia	n/a	RU0006763570	B59B2V3	11-12-2012
MPLX LP	Norms violation of indigenous peoples rights	31969391	United States	US55336VAA89	US55336VAA89	BVWXT73	24-05-2017
Murray Energy Corp	Production of coal	9423229	United States	US62704PAD50	US62704PAD50	B9X7RB0	09-02-2017
New Hope Corp Ltd	Involved in Coal & Consumable Fuels	8236466	Australia	NHC.AX	AU000000NHC7	6681960	11-09-2017
New World Resources PLC	Involved in Coal & Consumable Fuels	25140679	United Kingdom	NWRR.L	GB00B42CTW68	B42CTW6	16-04-2015
Northrop Grumman Corp	Involvement in nuclear weapons	101090	United States	NOC.N	US6668071029	2648806	18-04-2012
Nutrien Ltd	Production of nuclear weapons outside the NPT	58121995	Canada	NTR.TO	CA67077M1086	BDRJLN0	04-04-2018
Orbital ATK Inc	Involvement in cluster munitions & Maintenance of nuclear weapons	100040	United States	ATK.N	US68557N1037	BVGC686	01-11-2009
Peabody Energy Corp	Involved in Coal & Consumable Fuels	342996	United States	BTU.N	US7045492037	BZ2Y3W7	16-04-2015
Phillips 66	Norms violation of indigenous peoples rights	28399721	United States	PSX.N	US7185461040	B78C4Y8	09-02-2017
Pingdingshan Tianan Coal Mining Co Ltd	Involved in Coal & Consumable Fuels	10919219	China	601666 CG	CNE000001PH7	B1GGYL7	11-09-2017
Poongsan Corp	Involvement in cluster munitions	14481691	Republic Of Korea	103140.KS	KR7103140000	B3BDFS1	01-11-2009
Potash Corp of Saskatchewan Inc	Violation of human rights related norms	101201	Canada	POT.TO	CA73755L1076	2696980	22-12-2011
Premier Explosives Ltd	Involvement in nuclear weapons	152507	India	n/a	n/a	n/a	15-03-2016
Ramaco Resources Inc	Involved in Coal & Consumable Fuels	48031578	United States	METC.OQ	US75134P3038	BD81GZ2	11-09-2017
Raspads kaya OJSC	Involved in Coal & Consumable Fuels	11014042	Russian Federation	RASP.MM	RU000A0B90N8	B5800Q2	16-04-2015
Raytheon Co	Maintenance of nuclear weapons	101243	United States	RTN.N	US7551115071	2758051	08-02-2017
Resources Prima Group Ltd	Involved in Coal & Consumable Fuels	7938863	Singapore	n/a	SG1W50939246	n/a	11-09-2017
Rhino Resource Partners LP	Involved in Coal & Consumable Fuels	10662205	United States	n/a	US76218Y2028	n/a	11-09-2017
Rolls-Royce Holdings PLC	Involvement in nuclear weapons	112185	United Kingdom	RR.L	GB00B63H8491	B63H849	18-04-2012

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S&T Dynamics Co Ltd	Involvement in anti-personnel mines	117912	Republic Of Korea	n/a	KR7003570009	n/a	11-06-2015
Safran SA	Involvement in nuclear weapons	115519	France	SAF.PA	FR0000073272	B058TZ6	18-04-2012
Semirara Mining & Power Corp	Involved in Coal & Consumable Fuels	157568	Philippines	SCC.PS	PHY7628G1124	BQ13Z04	11-09-2017
Serco Group PLC	Maintenance of nuclear weapons	112244	United Kingdom	SRP.L	GB0007973794	797379	08-02-2017
Shanxi Coal International Energy Group Co Ltd	Involved in Coal & Consumable Fuels	8220566	China	600546 CG	CNE000001FH8	6673246	16-04-2015
Shanxi Lanhua Sci-Tech Venture Co Ltd	Involved in Coal & Consumable Fuels	812220	China	600123 CG	CNE000000XZ5	6134839	11-09-2017
Shanxi Lu'an Environmental Energy Development Co Ltd	Involved in Coal & Consumable Fuels	11038689	China	601699 CG	CNE000001NT7	B1CWSY0	16-04-2015
Shougang Fushan Resources Group Ltd	Involved in Coal & Consumable Fuels	116357	Hong Kong	0639.HK	HK0639031506	6354671	16-04-2015
SouthGobi Resources Ltd	Involved in Coal & Consumable Fuels	8520012	Canada	SGQ.TO	CA8443751059	2223582	16-04-2015
Stanmore Coal Ltd	Involved in Coal & Consumable Fuels	17426382	Australia	n/a	AU000000SMR4	n/a	11-09-2017
Bukit Asam Persero Tbk PT	Involved in Coal & Consumable Fuels	994069	Indonesia	PTBA.JK	ID1000094006	6565127	16-04-2015
Tata Power Co Ltd/The	Production of nuclear weapons outside the NPT	169748	India	TTPW.NS	INE245A01021	B6Z1L73	31-05-2017
Teck Resources Ltd	Involved in Coal & Consumable Fuels	110686	Canada	TCKB.TO	CA8787422044	2879327	11-09-2017
Textron Inc	Involvement in cluster munitions & Maintenance of nuclear weapons	101464	United States	TXT.N	US8832031012	2885937	01-11-2009
Thales SA	Involvement in nuclear weapons	115545	France	TCFP.PA	FR0000121329	4162791	13-03-2014
Ultra Electronics Holdings PLC	Maintenance of nuclear weapons	194716	United Kingdom	ULE.L	GB0009123323	912332	08-02-2017
United Technologies Corp	Involvement in nuclear weapons	101555	United States	UTX.N	US9130171096	2915500	11-12-2012
United Tractors Tbk PT	Involved in Coal & Consumable Fuels	118135	Indonesia	UNTR.JK	ID1000058407	6230845	11-09-2017
Up Energy Development Group Ltd	Involved in Coal & Consumable Fuels	116455	Bermuda	n/a	BMG9289C1257	n/a	11-09-2017
Walchandnagar Industries Ltd	Involvement in nuclear weapons	198917	India	n/a	INE711A01022	6301473	15-03-2016
Walmart Inc.	Norms violation of labour right	101616	United States	WMT US Equity	US9311421039	2936921 US	19-06-2018
Westmoreland Coal Co	Involved in Coal & Consumable Fuels	101649	United States	WLB	US9608781061	2954956	11-09-2017
White Energy Co Ltd	Involved in Coal & Consumable Fuels	866142	Australia	WEC.AX	AU000000WEC5	6167619	11-09-2017
Whitehaven Coal Ltd	Involved in Coal & Consumable Fuels	11984275	Australia	WHC.AX	AU000000WHC8	B1XQXC4	16-04-2015
Wintime Energy Co Ltd	Involved in Coal & Consumable Fuels	330307	China	600157 C1	CNE000000WD4	BP3R1P4	16-04-2015
Yang Quan Coal Industry Group Co Ltd	Involved in Coal & Consumable Fuels	8244607	China	600348 C1	CNE000001FP1	BP3R790	16-04-2015
Yanzhou Coal Mining Co Ltd	Involved in Coal & Consumable Fuels	330128	China	600188 CG	CNE000000WV6	6119289	16-04-2015

* This security information is just one example of security from the specific issuer and shall not be seen as a complete list. All securities from the company/company group are subject for exclusion, as exclusion is on issuer group level and not on security level.

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